

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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**In re** : **Chapter 11 Case No.**  
**LEHMAN BROTHERS HOLDINGS INC., et al.,** : **08-13555 (JMP)**  
**Debtors.** : **(Jointly Administered)**  
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**x Ref. Docket No. 33418-33420,**  
**33422-33425, 33449-33459, 33465,**  
**33568, 33571, 33572, 33602, 33604**

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
                        )  
                        ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 17, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
18<sup>th</sup> day of January, 2013

/s/ Panagiota Manatakis

Notary Public, State of New York  
No. 01MA6221096  
Qualified in Queens County  
Commission Expires April 26, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.  
08-13555 (JMP)  
(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BANC OF AMERICA CREDIT PRODUCTS INC  
TRANSFEROR: STONE LION PORTFOLIO LP  
BANK OF AMERICA TOWER - 3RD FLOOR  
ONE BRYANT PARK  
NEW YORK NY 10036

Please note that your claim # 66799-07 in the above referenced case and in the amount of  
\$5,251,696.63 allowed at \$5,251,696.63 has been transferred (unless previously expunged by court order)

HLTS FUND II LP  
TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS INC  
C/O HALCYON ASSET MANAGEMENT LP  
ATTN: MATT SELTZER  
477 MADISON AVENUE - 8TH FLOOR  
NEW YORK NY 10022

No action is required if you do not object to the transfer of your claim. However, IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 33604 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 01/17/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 17, 2013.

## **EXHIBIT B**

Name	Address	Pg 5 of 5
BANC OF AMERICA CREDIT PRODUCTS INC	TRANSFEROR: STONE LION PORTFOLIO LP BANK OF AMERICA TOWER - 3RD FLOOR ATTN: JEFF BENESH/ RON TOROK ONE BRYANT PARK NEW YORK NY 10036	
BARCAYS BANK PLC	TRANSFEROR: STONE LION PORTFOLIO LP BANK OF AMERICA TOWER - 3RD FLOOR ONE BRYANT PARK NEW YORK NY 10036	
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: NESTLE IN THE USA PENSION TRUST 745 SEVENTH AVENUE NEW YORK NY 10019	
CITIGROUP FINANCIAL PRODUCTS INC.	PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: ELANIT A. SNOW 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019	
CITIGROUP FINANCIAL PRODUCTS INC.	NEW CASTLE DE 19720	
DEUTSCHE BANK AG	TRANSFEROR: PRIME CAPITAL MASTER SPC, GOT WAT MAC SEGREGATED PORTFOLIO ATTN: BRIAN BROYLES 1615 BRETT ROAD, BLDG 3	
DEUTSCHE BANK AG	TRANSFEROR: WATERSTONE MARKET NEUTRAL MASTER FUND, LTD. ATTN: BRIAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720	
DEUTSCHE BANK AG, LONDON BRANCH	ATTN: CHIP GOODRICH, ESQ. 60 WALL STREET NEW YORK NY 10005-2558	
DEUTSCHE BANK AG, LONDON BRANCH	BINGHAM MCCUTCHEON LLP ATTN: RANAN WELL, ESQ. 2020 K STREET, NW WASHINGTON DC 20006	
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH MASTER FUNDING LTD ATTN: RICH VICHAIHDITH 60 WALL ST., 3RD FLOOR NEW YORK NY 10005	
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: PRIMUS CLO I, LTD. ATTN: RICH VICHAIHDITH 60 WALL ST., 3RD FLOOR NEW YORK NY 10005	
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: PRIMUS CLO I, LTD. ATTN: RICH VICHAIHDITH 60 WALL ST., 3RD FLOOR NEW YORK NY 10005	
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. ATTN: STERLING HATHAWAY C/O EMPYREAN CAPITAL PARTNERS, LP 10250 CONSTELLATION BLVD. STE 2950 LOS ANGELES CA 90067	
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. C/O EMPYREAN CAPITAL PARTNERS, LP ATTN: STERLING HATHAWAY 10250 CONSTELLATION BLVD. STE 2950 LOS ANGELES CA 90067	
GOLDMAN SACHS LENDING PARTNERS LLC	TRANSFEROR: MONARCH MASTER FUNDING LTD C/O GOLDMAN, SACHS, & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302	
HILTS FUND II LP	TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS INC C/O HALCYON ASSET MANAGEMENT LP ATTN: MATT SELTZER 477 MADISON AVENUE - 8TH FLOOR NEW YORK NY 10022	
HILTS FUND II LP	TRANSFEROR: DEUTSCHE BANK AG C/O HALCYON ASSET MANAGEMENT LP ATTN: MATT SELTZER 477 MADISON AVENUE - 8TH FLOOR NEW YORK NY 10022	
JPMORGAN CHASE BANK N.A.	TRANSFEROR: EMERYEAN INVESTMENTS, LLC ATTN: SUSAN MCNAULARA MAIL CODE NY1-A436 ONE CHASE MANHATTAN PLAZA, FLOOR 26 NEW YORK NY 10005	
JPMORGAN CHASE BANK, N.A.	TRANSFEROR: EMERYEAN INVESTMENTS, LLC ATTN: SUSAN MCNAULARA MAIL CODE NY1-A436 ONE CHASE MANHATTAN PLAZA, FLOOR 26 NEW YORK NY 10005	
JPMORGAN CHASE BANK, N.A.	TRANSFEROR: YORK GLOBAL FINANCE BDH, LLC C/O J.P. MORGAN SECURITIES LLC MAIL CODE: NY1-M138 383 MADISON AVENUE, FLOOR 37 NEW YORK NY 10179	
MONARCH MASTER FUNDING LTD	TRANSFEROR: BARCLAYS BANK PLC C/O MONARCH ALTERNATIVE CAPITAL LP 535 MADISON AVENUE, 26TH FLOOR ATTN: MICHAEL GILLIN NEW YORK NY 10022	
MONARCH MASTER FUNDING LTD	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O MONARCH ALTERNATIVE CAPITAL LP ATTN: MICHAEL GILLIN 535 MADISON AVENUE, FLOOR 26 NEW YORK NY 10022	
MONARCH MASTER FUNDING LTD	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) C/O MONARCH ALTERNATIVE CAPITAL LP ATTN: MICHAEL GILLIN 535 MADISON AVENUE, FLOOR 26 NEW YORK NY 10022	
MONARCH MASTER FUNDING LTD	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK) C/O MONARCH ALTERNATIVE CAPITAL LP ATTN: MICHAEL GILLIN 535 MADISON AVENUE, FLOOR 26 NEW YORK NY 10022	
PRIME CAPITAL MASTER SPC, GOT WAT MAC SEGREGATED PORTFOLIO	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O WATERSTONE CAPITAL MANAGEMENT, LP ATTN: VINCENT CONLEY 2 CARLSON PARKWAY, SUITE 260 PLYMOUTH MN 55447	
PRIMUS CLO I, LTD.	PRIMUS ASSET MGMT. 360 MADISON AVE., 23RD FL NEW YORK NY 10017	
STONE LION PORTFOLIO LP	C/O CIEC ASSET MANAGEMENT ATTN: ROBERT RANOCCHIA 250 PARK AVE., 5TH FLOOR NEW YORK NY 10177	
STONE LION PORTFOLIO LP	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC STONE LION CAPITAL PARTNERS L.P. ATTN: ZACHARY NUZZI 555 5TH AVENUE, 18TH FLOOR NEW YORK NY 10017	
STONE LION PORTFOLIO LP	TRANSFEROR: MERRILL LYNCH CREDIT PRODUCTS, LLC 461 FIFTH AVENUE, 14TH FLOOR NEW YORK NY 10017	
STONE LION PORTFOLIO LP	TRANSFEROR: MERRILL LYNCH CREDIT PRODUCTS, LLC 461 FIFTH AVENUE, 14TH FLOOR NEW YORK NY 10017	
WATERSTONE MARKET NEUTRAL MASTER FUND, LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O WATERSTONE CAPITAL MANAGEMENT LP ATTN: VINCENT CONLEY 2 CARLSON PARKWAY, SUITE 260 PLYMOUTH MN 55447	
WATERSTONE MARKET NEUTRAL MASTER FUND, LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O WATERSTONE CAPITAL MANAGEMENT, LP ATTN: VINCENT CONLEY 2 CARLSON PARKWAY, SUITE 260 PLYMOUTH MN 55447	
WATERSTONE MF FUND, LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O WATERSTONE CAPITAL MANAGEMENT, LP ATTN: VINCENT CONLEY 2 CARLSON PARKWAY, SUITE 260 PLYMOUTH MN 55447	
YORK GLOBAL FINANCE BDH, LLC	TRANSFEROR: JPMORGAN CHASE BANK, N.A. C/O YORK CAPITAL MANAGEMENT /MARGARET MAURO 767 FIFTH AVENUE, 17TH FLOOR NEW YORK NY 10153	